



Robert Greene Steme Edward J. Kessler Jorge A. Goldstein David K.S. Cornwell Robert W. Esmond Iracy-Gene G. Durkin Michael B. Ray Robert E. Sokohl Eric K. Steffe Michael Q. Lee Steven R. Ludwig John M. Covert Linda E. Alcom Robert C. Millonig Lawrence B. Bugaisky Donald J. Featherstor Michael V. Messinner Judith U. Kim Timothy J. Shea, Jr. Patrick E. Garrett Jeffrey T. Helvey Heidi L. Kraus Albert L. Ferro* Donald R. Banowit Peter A. Jackman Teresa U. Medler Jeffrey S. Weaver Kendrick P. Patterson Vincent L. Capuano Fidora Ellison Floyd Thomas C. Fiala Brian J. Del Bunon Virgil Lee Beaston Theodore A. Wood Elizabeth J. Haanes Joseph S. Ostroff Frank R. Cottingham Christine M. Lhulier Rae Lynn P. Guest George S. Bardmesser Daniel A. Klein* Jason D. Eisenberg Michael D. Specht Andrea J. Kamage Tracy L. Muller Jon E. Wright LuAnne M. DeSantis Ann E. Summerfield Anic W. Ledford* Helene C. Carlson Timothy A. Doyle* Gaby L. Longsworth Lori A. Gordon* Nicole D. Dretar Ted J. Ebersole Jyoti C. Iyer* Laura A. Vogel Michael J. Mancuso Registered Patent Age

Registered Patent Agents «
Karen R. Markowicz
Nancy J. Leith
Matthew J. Dowd
Aaron L. Schwartz
Katrina Yujian Pel Quach
Bryan L. Skelton
Robert A. Schwartzman
Teresa A. Colella
Jeffrey S. Lundgren
Victoria S. Rutherford
Michelle K. Holoubek

Robert H. DeSelms Simon J. Elliott Julie A. Heider Mita Mukherjee Scott M. Woodhouse Michael G. Penn Christopher J. Walsh

<u>Of Counsel</u> Kenneth C. Bass III Evan R. Smith Marvin C. Guthrie

*Admitted only in Maryland *Admitted only in Virginia •Practice Limited to Federal Agencies

December 20, 2004

WRITER'S DIRECT NUMBER: (202) 772-8677 INTERNET ADDRESS: RSOKOHL@SKGF.COM

Art Unit 2137

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Application

Application No. 09/780,573; Filed: February 12, 2001

For: Method Of And System For Counterfeit Prevention

Inventors: BANDY et al. Our Ref: 1689.0070001

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Fee Transmittal Form (PTO/SB/17);
- 2. Credit Card Payment Form (PTO-2038);
- 3. First Supplemental Information Disclosure Statement;
- 4. Form PTO-1449 (1 page) listing one (1) cited document; and
- 5. A return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox PLLC. : 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skgf.com

Commissioner for Patents December 20, 2004 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert Sokohl Attorney for Applicants Registration No. 36,013

RES/J-T/tts Enclosures

346323v1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Confirmation No.: 1620

BANDY et al.

Art Unit: 2137

Appl. No.: 09/780,573

Examiner: Michael J. Pyzocha

Filed: February 12, 2001

Atty. Docket: 1689.0070001

For: Method Of And System For

Counterfeit Prevention

First Supplemental Information Disclosure Statement

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this First Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Information Disclosure Statement filed on June 20, 2001 in connection with the above-captioned application.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- ☐ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this
 Information Disclosure Statement was cited in a communication from a foreign
 patent office in a counterpart application and this communication was not
 received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty
 days prior to the filing of this information disclosure statement.
- 2. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.

- - a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
 - b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

4.	Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being								
	filed more than three months after the U.S. filing date and after the mailing dat								
	of a Final Rejection or Notice of Allowance, but before payment of the Issue Fe								
	Enclosed find our PTO-2038 Credit Card Payment Form in the amount								
	\$ i	n payment of the fee under 37 C.F.R. § 1.17(p); in addition:							
	□ a.	Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each							
		item of information contained in this Information Disclosure							
		Statement was cited in a communication from a foreign patent							
		office in a counterpart foreign application not more than three							
		months prior to the filing of this Information Disclosure							
		Statement. 37 C.F.R. § 1.97(e)(1).							
	□ b.	Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item							
		of information in this Information Disclosure Statement was cited							
		in a communication from a foreign patent office in a counterpart							
		foreign application and, to my knowledge after making reasonable							
		inquiry, was known to any individual designated in 37 C.F.R. §							
		1.56(c) more than three months prior to the filing of this							
		Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).							

5. The document(s) was/were cited in a search report by a foreign patent office in a

counterpart foreign application. Submission of an English language version of

the search report that indicates the degree of relevance found by the foreign office

is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.

- 6. A concise explanation of the relevance of the non-English language document(s) appears below in accordance with 37 C.F.R. § 1.98(a)(3).
- ∑ 7. In accordance with 37 C.F.R. § 1.98(a)(2), no copies of U.S. patents and patent application publications cited on the attached Form PTO-1449 are submitted.
- 8. Copies of the documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No._______, filed ______, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed Form PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

Ressler, Goldstein & Fox P.L.L.C.

Robert Sokohl Attorney for Applicants Registration No. 36,013

Date: December 20, 2004 1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600 346284v1

Equivalent to Form PTO/SB/17 (12-04)

Approved for use through 07/31/2006.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE sons are required to respond to a collection of information unless it displays a valid OMB control number Under the Paperwork Reduction Act of 1995 ng Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). Complete if Known Application Number 09/780,573 FEE TRANSMIT Filing Date February 12, 2001 For FY 2005 First Named Inventor William R. BANDY **Examiner Name** Michael J. Pyzocha Applicant claims small entity status. See 37 CFR 1.27 Art Unit 2137 TOTAL AMOUNT OF PAYMENT 1689.0070001 Attorney Docket No. METHOD OF PAYMENT (check all that apply) Check X Credit Card Other (please identify): Money Order None 19-0036 X Deposit Account Deposit Account Number:_ Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C. For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) X Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **EXAMINATION FEES FILING FEES** SEARCH FEES **Small Entity Small Entity Small Entity** Fees Paid (\$) **Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 200 100 250 130 Design 200 100 100 50 65 Plant 200 100 300 150 160 80 600 Reissue 300 150 500 250 300 Provisional 200 0 100 n **Small Entity** 2. EXCESS CLAIM FEES Fee (\$) Fee (\$) Fee Description Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent 50 25 100 Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 180 Multiple dependent claims **Total Claims Extra Claims** Fee Paid (\$) Multiple Dependent Claims Fee (\$) Fee Paid (\$) - 20 or HP Fee (\$) HP = highest number of total claims paid for, if greater than 20 **Extra Claims** Fee Paid (\$) Fee (\$) Indep. Claims - 3 or HP HP = highest number of independent claims paid for, if greater than 3 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) **Total Sheets** Extra Sheets (round up to a whole number) x - 100 = Fees Paid (\$) 4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount) 180.00 Other: First Supplemental Information Disclosure Statement Fee

SUBMITTED BY	\\(\alpha(\)\)				
Signature	, AXXX	Registration No. (Attorney/Agent) 36,013	Telephone	(20	2) 371-2600
Name (Print/Type)	Robert Sokohl		Date \	2/20	104

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments and trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

_	MATION	st Supplements SRM PTO-1449 DISCLOSURE	ii	1689.0070001 FIRST NAMED INVEN	TOD	09/780,573		
INFORI	MATION	-,		FIRST NAMED INVENTOR William R. BANDY				
1881 ·	DEUFER		STATEMENT .					
			US PAT	Februaries Feb	ary 12, 2001	2137		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE	
INTIAL	AA1	NOMBLIX	DATE	INCAVIL	OLAGO	OOD-OLAGO	TILING BATE	
	AB1							
	AC1							
	AD1							
	AE1							
	AF1							
	AG1							
	AH1	6,109,526	August 29, 2000	Ohanian et al.	235	462.45	11/17/98	
	Al1							
	AJ1							
	AK1							
EXAMINER	T	DOCUMENT	FOREIGN F	PATENT DOCUMEN	ITS		1	
INITIAL		NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION Yes	
	AL1						No	
	AM1						Yes No	
a.	AN1						Yes No	
	AO1						Yes No	
	AP1						Yes No	
	T	OTHE	R (Including Autho	r, Title, Date, Perti	nent Pages, e	tc.)		
	AR	1						
	AS	1						
	AT	1						
EXAMINER	1 !	1		<u> </u>	DA.	TE CONSIDERED		
EXAMINER: Initi	ial if refere	ence considered, w	hether or not citation is with next communication	in conformance with MPE	EP 609. Draw line	through citation if no	t in conformance	